ABSTRACT

Background Content: This case study examines the effect of the addition of Prolotherapy to manual therapy, and pelvic and trunk exercises, in a treatment regime for a patient with pelvic and chronic low back pain (CLBP) who had previously failed manual therapy and exercise alone and in combination. We hypothesized that with continued exercise and the combination of Prolotherapy and manual therapy, there would be better improvement than any single intervention to reduce pain and improve stability in the lumbar spine and pelvis.

Purpose: The purpose of our case study was twofold.
1. If the tenderness in the above ligaments would be reduced using the combination of Prolotherapy, therapeutic exercise, and manual therapy.
2. Whether our subject would show functional improvement after treatment.

Study Design: Single case study.

Methods: One subject, a 44 year-old male with a history of left L5-S1 laminectomy and ligamentous laxity in the pelvis and sacral ligaments, was assessed and treated by the primary author, using Prolotherapy and manual therapy. Therapeutic exercise was performed five days a week with an emphasis on the pelvic and deep trunk stabilizers.

Results: After treatments, the patient demonstrated less tenderness, improved ligamentous stiffness, and displayed improved pelvic joint stability. Function also improved as measured by his ability to work, exercise, and perform home activities with less stiffness and pain than previously noted.

Conclusion: Patients with LBP may benefit from Prolotherapy to aid in reducing pelvic and lumbar instability in conjunction with manual therapy and exercise to improve dynamic pelvic stability.

INTRODUCTION

It has been postulated that 80% of Americans will experience low back pain sometime in their lives.¹ One estimate is that 40% of all visits to health care professionals are due to low back pain (LBP).² Approximately 10-20% of these cases will become chronic, resulting in long-term pain and disability, making low back pain the largest cause of worker compensation claims in the US and Canada.³ Among industrial workers, the incidence is as much as 60% of all claims.⁴ When discussing LBP, one problem is to determine the origin of the pain, which in many cases is not known objectively.¹ When discussing LBP, one problem is to determine the origin of the pain, which in many cases is not known objectively.¹ The origin of the CLBP (chronic low back pain) will help to determine whether or not the patient needs a multi-disciplinary approach,⁶ and whether or not there are some significant psychological factors that will either enhance or worsen the situation.¹

There appears to be a growing consensus that a significant portion of CLBP cases have an element of segmental instability present.⁷⁻⁸ As defined by Panjabi,⁹ the intrinsic stabilizing system of the spine consists of three interrelated components:

1. The passive stabilizing system, consisting of ligaments, intervertebral discs, and joint capsules.
2. The myofascial system, consisting of muscles and fascia.
3. The motor control processing system, consisting of the central and peripheral nervous systems.

A deficit in the motor control or myofascial systems can result in damage to the passive stabilizing system from poorly controlled segmental movements in the spine and pelvis.¹⁰ If the muscles become weak due to inhibition¹¹...
loads will be transferred to the disc and ligamentous structures and may lead to repetitive wear, causing a breakdown in this passive support system.12-13

ANATOMY AND FUNCTION:

The pelvis is a bony ring, composed of two hip (innominate) bones, which are made up of the fused ilium, ischium and pubis, and the sacrum, which is in the center between the innomates posteriorly. There are two sacroiliac (SI) joints, and the sacrum and innomates are joined posteriorly by the synovial-lined sacroiliac joints, and the innomates are joined anteriorly by the symphysis pubis, a fibrocartilaginous articulation.14 The pelvis is a highly significant part of the body that transfers loads between the ground and the spine, as well as transfers loads between the upper and lower extremities, through the spine and thoracolumbar fascia.15 The shape and orientation of the articular surfaces has been described by Vleeming et al., as contributing to the relative passive stability of these joints, known as “form closure.”16 Normal forces applied to the SI joints can enhance stability, dubbed “force closure” by Vleeming et al17 but poor stability in the SI joint can lead to dysfunctions in the lumbar spine and hip.

ROLE OF THE LIGAMENTOUS SYSTEM AS IT OCCURS IN LBP:

One of the major low back stabilizers is the iliolumbar ligament (IL), which unites the low lumbar spine with the ilium and sacrum.18 The ligament will resist the motion of L4 and L5 on the ilium and sacrum.19-20 The IL has been described as one of the most important ligaments for sacroiliac stability.19 It will also resist anterior motions of the ilium on L5 and will also help in stabilizing the L5 segmental level.21-22 The IL is also able to check side bending to the contralateral side.22 The IL can also aid in reducing the stresses on the low lumbar discs.23-24 The long dorsal sacro-iliac ligament (LD) joins the sacral crest inferiorly, with the PSIS and iliac crest superiorly.18, 25 It functions to keep the sacrum from moving dorsally (counternutation) with respect to the ilium. The LD is linked to pain in the SI joint and also with patients who experience pain in the posterior portion of their pelvis.26 The sacrospinous ligament (SS) is a triangular band of tissue that connects the ischial spine laterally, to the sacrum.27 The SS also separates the lesser and greater sciatic notch and resists anterior rotation of the sacrum at the SI joint.27-28 (See Figure 1.) Painful stimulation of ligaments or joint capsules on the other hand, can reduce or eliminate muscle activity.8 We are just beginning to understand the complex interplay between Panjabi’s passive and active subsystems in providing spine stabilization. Physical damage to a ligament, i.e. a tear, is associated with pain. This pain can also inhibit muscles designed to protect joints which the ligament crosses, and can lead to joint instability and further ligamentous damage, in a vicious cycle.13 In these cases, the protective ligaments can become stressed and sore, leading to reduced function and potential joint instability.19-20, 12, 22, 25, 29 which can affect a person’s job function or an athlete’s level of performance. Besides injury due to trauma, subtle factors which may lead to compromise of the ligamentous system include the patient’s posture in both a sedentary and active environment.30 Studies show that if a person slouches, stress on the iliolumbar ligament can lead to creep, which can compromise the stability of the sacroiliac joints and the lumbar spinal segments.31 Cyclists for example, may not maintain their maximally flexed posture during the course of a ride. Studies show that it can take up to 8 hours to reverse the effects.31-32 After prolonged flexion, the muscular system takes time to rebound (minutes to hours) leaving the fascia, ligaments, and joints vulnerable to the stresses of functional activities.11 A dysfunctional sacroiliac joint will impact activities involving hip motions such as squatting, kneeling, adduction, and external rotation of the hip.34

PROLO THERAPY

Background: In the 1950s and 1960s Dr. G. S. Hackett discovered that he could reduce the back pain that a person was experiencing by injecting a hypertonic sugar solution around supporting ligaments.33-35 Prolotherapy is
defined as “the strengthening of a disabled ligament or tendon by stimulating the production of new bone and fibrous tissue cells”. Prolotherapy is most appropriate for patients who have CLBP and pelvic instability, ligamentous laxity and for those patients who retain a particular correction for too short a period of time to be functional. There is a paucity of longitudinal studies for alternative medical treatments and Prolotherapy is one of those alternatives. The adjunctive use of Prolotherapy was shown to help reduce the pain from CLBP over a 16 year period. Studies also support the growth factors used as components of Prolotherapy to stimulate the affected tissues. Prolotherapy has helped patients with unstable sacroiliac joints, knee pain, hip pain, plantar fasciitis and even lateral epicondylitis.

**HOW DOES THE PROLO THERAPY PROCESS WORK?**

The Prolotherapy procedure for the low back and sacroiliac joint is individualized depending on the patient’s presentation. In general, Prolotherapy injections of a sclerosant solution are designed to promote ligament hypertrophy to better sustain the inherent stresses that are placed on them. The ligament, or ligaments, to be targeted can be injected with a mixture of solutions that can consist of dextrose, Phenol Quinine and Urea (PQU), human growth hormone, Sarapin (the extract of the pitcher plant), Zinc sulfate, Silica Crystals, Sylnasol, and glycerine-phenol solution. (See Table 1 for a description of the expected effects of each component.)

The solution is injected into the fibroseous junction and has been shown to cause an infiltration of fibroblasts following the inflammation caused by the injected solution. Prolotherapy can be a useful treatment when the patient’s ligamentous laxity causes a loss of stability within a specific joint. The fibroblasts will proliferate and this will lead to the re-organization of these cells to lay down a new matrix of collagen. Thus, the inflammation in this case is considered good and will aid in repairing the tissue, whether it be ligament or tendon. When the cellular layer is re-established, the ligament and/or tendon will become stronger and give support to a specific joint. This healing process takes about six weeks, with most of the tendon strengthening occurring in weeks two through four after the Prolotherapy treatment. During the six weeks period of healing, in our protocol, treatments are usually every other week and there are between 20-25 injections per session. Total number of treatments is usually between three and six in a series.

### Table 1. Prolotherapy solutions and their effects and usage.

<table>
<thead>
<tr>
<th>Solute Name</th>
<th>Solute Effect</th>
<th>Frequency of use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sylnasol</td>
<td>Mild Irritant</td>
<td>Very little b,c</td>
</tr>
<tr>
<td>Dextrose</td>
<td>Mild irritant</td>
<td>Frequently b,c,d</td>
</tr>
<tr>
<td>Procaine</td>
<td>Analgesic</td>
<td>Commonly used b,c,d</td>
</tr>
<tr>
<td>Lidocone</td>
<td>Analgesic</td>
<td>Commonly used b,c</td>
</tr>
<tr>
<td>Sarapin</td>
<td>Used to cause Irritation (also used for nerve irritation)</td>
<td>Commonly used b,c</td>
</tr>
<tr>
<td>Zinc Sulfate</td>
<td>Used to cause Irritation in the tissue</td>
<td>Occasionally b,c</td>
</tr>
<tr>
<td>Phenol</td>
<td>Antiseptic properties</td>
<td>Commonly used b,c</td>
</tr>
<tr>
<td>Quinine</td>
<td>Inflammatory agent</td>
<td>Commonly used b,c</td>
</tr>
<tr>
<td>Urea</td>
<td>Solubility agent</td>
<td>Commonly used b,c</td>
</tr>
<tr>
<td>Sodium Morrhaute</td>
<td>Inflammatory agent</td>
<td>Rarely b,c,e,f</td>
</tr>
<tr>
<td>Glycerin</td>
<td>Irritant to the tissues</td>
<td>Commonly used b,c</td>
</tr>
</tbody>
</table>

The reported side effects are minimal, including injection site discomfort for a few days after the treatment. The treatments usually continue until the patient experiences pain relief, function increases, and the ligaments are not tender during the palpation exam. In some cases, treatments are ended if there is no progress after four series of injections.

The purpose of this case study was to determine whether or not our subject, who demonstrated specific ligamentous laxity in the iliolumbar, supraspinous, sacrospinosus, and dorsal sacroiliac ligaments on clinical examination, would show improvement in ligamentous stiffness and tenderness after the Prolotherapy injections.

### TREATMENT

While many treatment alternatives have been proposed for pelvic pain and CLBP, few have demonstrated overwhelming efficacy. In a systematic review, Bronfort et al. found moderate evidence to support the use of spinal manipulative therapy for chronic low back pain. Similarly, Slade and Keating found support for trunk strengthening exercises for patients with CLBP. We chose...
to use a treatment model which included manual therapy (manipulation/mobilization) and Prolotherapy as the primary treatment regime with the patient exercising on his own. Prolotherapy treatment has been used for the treatment of pelvic and CLBP and has been shown to target the affected ligamentous tissues. Although the results of previous double blinded studies on Prolotherapy for LBP have been mixed more recent evidence suggests that when combined with manual therapy and exercise, the efficacy of the Prolotherapy treatment may be enhanced.

**METHOD/MATERIALS**

One male subject, 43 year-old, 69.5 cm tall, and 85.9 kg was included in this case study. The patient had a history of playing competitive hockey for 20 years with multiple associated pelvis and lower back injuries. He wore a one-half inch lift in the left shoe due to a presumed leg length inequality. He underwent successful decompression laminectomy in December of 1999 to remove an L5-S1 left posterior-lateral disc fragment, which was compressing the S1 nerve root. He also was an avid cyclist during his hockey years and continued to cycle competitively until a recent increase in his pelvis and LBP. On some occasions, especially after hard biking or working out, he reported a sensation of “something shifting” in his pelvis, and afterwards was unable to walk normally or to work without pain. The patient was also not able to sit, flex his trunk, and side flex to the left without discomfort. Driving, cycling, and transitions from sitting to standing and from supine to sitting caused pain. For these reasons, he sought treatment from the primary author.

**PHYSICAL EXAMINATION**

The primary author performed a biomechanical examination and determined that the patient had pain and limitation of motion with side flexion to the left and flexion of the lumbar spine. The lumbar segmental levels were checked for motion restrictions to determine if there were any segmental dysfunctions, which can be defined as a segment that is hypomobile, usually in some flexion or extension. The biomechanical examination allows a clinician to check the passive intervertebral motion of a specific segmental level to test for hypomobility. The patient also presented with a leg length discrepancy (LLD) of ½ inch on the left side. (See Table 2.)

The primary author noted that the patient had a positive pain or “jump sign” when palpating the ligaments around the lumbar spine and pelvis. It was determined through a thorough history that the patient reported symptoms consistent with unstable sacroiliac joints. These symptoms included a sacrum that was rotated to the right and was painful with palpation. The sacrum would not stay in place and would pop out during work, moving in bed, and even getting out of the car. Even though segmental dysfunctions were noted on the right side, the pain was mainly experienced on the left side of the buttock, down the lateral side of the left leg and sometimes down to the calf. When the primary author palpated the ligamentous structures, there was a reproduction of the referral pattern on the left side and there was tenderness on the left at the L5 transverse process, in the lumbosacral junction, over the IL, LD, SS and the sacrotuberous ligaments. The referral pattern was very similar to the ligament referral pattern in the pelvis and lumbar spine reported by Dr. Hackett. (See Figure 2.) During the physical examination, it was noted that with lumbar extension, the patient reported a “catch” during movement. This “catch” was presumed to indicate lumbar instability associated with segmental dysfunction and/or lax ligamentous support of the spine. X-ray findings indicated that there were mild degenerative changes in the lumbar spine with mild disc space narrowing at L5-S1. All the segmental dysfunctions were corrected using manual therapy techniques (i.e. muscle energy and/or

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**Table 2. Physical findings of objective evaluation for the lumbar spine, pelvis and ligament systems.**

| Male, 43 y/o | Segmental dysfunctions: | L4 extended right side  
L5 extended right side |
<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>Ilium:</td>
<td></td>
<td>Upslip right side</td>
</tr>
<tr>
<td>Sacrum:</td>
<td></td>
<td>Right extended</td>
</tr>
<tr>
<td>Thoracic spine:</td>
<td></td>
<td>T8-T10 extended left</td>
</tr>
</tbody>
</table>
| Muscle tightness: |                     | Right psosas  
Right hamstrings  
Bilateral piriformis  
Right quadratus  
Lumborum |
| Ligament tenderness: |                   | Left and right lliolumbar  
Left dorsal sacroiliac  
Left sacrotuberous  
Bilateral sacrospinous  
L4-L5 supraspinous  
L5-S1 supraspinous |

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**See Table 2.**
manipulation) prior to the Prolotherapy procedure. The patient also relayed that using an SI belt was very helpful and took away some of the popping and pain.

**Prolotherapy Injectable Procedure**

The lumbosacral region was prepped with sterile alcohol and landmarks were identified prior to the injection procedure. The primary author located the areas of pain or tenderness noted by the patient. Once all the painful ligamentous locations were marked, author one drew up the Prolotherapy solution into a syringe, using a 2 inch, 27 gauge needle. The injection procedure is supported by the work of,\textsuperscript{35-36, 39, 52} where they stated to inject the needle into the affected area until bone was approximated. Once the bone was found, the needle was drawn out and then the Prolotherapy solution was put into the affected ligament. The Prolotherapy solution used with this patient consisted of 2cc of 50\% dextrose, 1cc of PQU (2.43 ml Phenol liquefied, 5.73 GM Quinine HCL, 1.26GM Urea USP), 1cc of Sarapin, and 6cc of 2\% Procaine. (Fabricated at the Compounding Pharmacy of Wyoming Park, 2301 Lee Street SW, Wyoming, MI 49519) After the injections, the patient was asked to move into lumbar extension to see if the motion still reproduced “catching” or pain in the pelvis or lumbar spine. If the “catching” was present, the physician (author one) reassessed to determine which ligamentous structure needed to be addressed and injected. Post-injection, the patient was instructed to avoid a hard workout for that day, but to perform usual exercises as long as they did not overstress the treated area. The exercise programs we focused on the trunk and “core” muscles.

**Results**

The outcome measures recorded were pain and improvement of functional activity. Treatment consisted of 16 sessions over a six month period. All treatment and assessments were provided by the primary author.

**Pain Measures**

Prior to the Prolotherapy treatment, the patient had moderate pain with palpation to the iliolumbar, dorsosacroiliac, sacrotuberous, and the supraspinous ligaments. This was determined by the patient’s subjective rating using a four point Likert scale ranging from zero, to minimal, moderate, and severe. During palpation from the primary author, the pain level was described as moderate. Once the combined treatment of manual PT and Prolotherapy were fully completed, all 16 sessions, the pain was reduced to a minimal level.

**Function**

Functionally the patient could perform pain-free biking, exercise without the lumbar “catching” sensation and was able to return to work without having his SI joint move out of place. The patient could also perform activities of daily life such as yard work, without pain and stiffness which had been present prior to the Prolotherapy sessions. Besides the improvement in function, there was...
a reduction in hip popping, SI irritation and lumbar spine pain. The popping, pain and loss of function all improved over the course of the treatment. The combination of very specific ligamentous Prolotherapy treatments with the inclusion of manual therapy and exercise resulted in a successful outcome for this patient with pelvic pain and CLBP.

DISCUSSION

Chronic low back (CLBP) and pelvic pain can deter a person from functioning at their optimal level, thus leading to poor productivity and increasing health care costs. In order to determine what the cause of the LBP is one must evaluate if the problematic area is a ligament, muscle, disc, or nerve root problem. In this case study, we argue for a departure from the traditional pathoanatomical model of dysfunction by emphasizing the interrelationship of the passive and dynamic stabilizing systems of the spine. Attempting to address the weakness without understanding its cause can lead to frustration, poor outcomes, and patient dissatisfaction. Our case study supports previous researchers who showed that the traumatized LD and IL can demonstrate the same referral pattern as a nerve root irritation. We conclude that when patients present with leg pain, injury to the lumbo-pelvic ligamentous system must not be excluded from differential diagnosis. Our case study also supports the use of Prolotherapy for ligament disorders. Despite previous studies by Yelland et al, which showed that the injections where not much better than control, our case points up the benefits of today’s Prolotherapy compounds and the synergy of combining manual therapy and exercise with Prolotherapy (Dagenais, et al). Our patient did not see long term lasting effects from just manual therapy and exercise alone or in combination. Once we included Prolotherapy, his recovery was improved and also the positive effects from stabilization exercises and also from manual manipulation were enhanced. By itself, Prolotherapy is an ancillary agent to help tissues heal and with the inclusion of manual PT and exercise there is a strong beneficial stabilization effect. Our patient needed the Prolotherapy treatments to improve the integrity of the tissues so that they could respond in a more beneficial manner to the exercise and manual therapy treatments. Researchers have shown that beneficial stress, as provided by carefully supervised exercise, is essential to promote long term positive effects for tissues in the lumbar spine and pelvis. Further research is warranted to explore the combination of manual therapy and exercise with Prolotherapy in a scientifically-rigorous way, using blinding and a control group.

In this case, it was not until the element of ligamentous insufficiency was addressed by Prolotherapy treatment that the patient experienced significant relief of his pelvic and lumbar spine pain. We take this as evidence that the ligamentous system, at least in this case, was a primary contributor to this patient’s CLBP and further supports the notion that Prolotherapy can be an effective tool in the management of pelvic pain and CLBP in the presence of ligamentous instability.

BIBLIOGRAPHY


