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The Chondrogenic Effect of Intra-articular Hypertonic-dextrose (prolotherapy) in Severe Knee Osteoarthritis

Gastón Andrés Topol, M.D., Leandro Ariel Podesta, M.D., Kenneth Dean Reeves, M.D., FAAPM&R, Marcia Mallma Giraldo, M.D., Lanny L. Johnson, M.D., AAOS, Raul Grasso, M.D. (Deceased), Alexis Jamín, M.D., D.C. Tom Clark, R.V.T., R.M.S.K, David Rabago, M.D.



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1 **The Chondrogenic Effect of Intra-articular Hypertonic-dextrose**  
2 **(prolotherapy) in Severe Knee Osteoarthritis.**

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4 Gastón Andrés Topol, M.D.

5 Clinical Instructor

6 Department of Physical Medicine and Rehabilitation

7 National University of Rosario

8 [gtopol@hotmail.com](mailto:gtopol@hotmail.com)

9

10 Leandro Ariel Podesta, M.D.

11 Clinical Instructor

12 Department of Orthopaedics

13 National University of Rosario

14 [podestaleandro@yahoo.com.ar](mailto:podestaleandro@yahoo.com.ar)

15

16 Kenneth Dean Reeves, M.D., FAAPM&R

17 Clinical Assistant/Associate Professor (1986-2015)

18 Department of PM&R

19 University of Kansas Medical Center

20 Kansas City, Kansas, U.S.A

21 [DeanReevesMD@gmail.com](mailto:DeanReevesMD@gmail.com)

22

23 Marcia Mallma Giraldo, M.D.

24 Orthopedic Surgeon of Hospital Provincial de Rosario , Argentina

25

26 Lanny L. Johnson, M.D., AAOS.

27 Clinical Professor

28 Department of Radiology

29 College of Human Medicine

30 Michigan State University

31 Clinical Professor (1984-2006)

32 Department of Orthopaedic Surgery

33 Michigan State University,

34 East Lansing, MI.

35 [lljmd@aol.com](mailto:lljmd@aol.com)

36

37 Raul Grasso, M.D. (Deceased)

38 Private practice anatomopathology consultation,

39 Rosario, Argentina.

40 Past Department Chairman Pathology and Cytopathology 1976-2002

41 Provincial Hospital Domingo Funes

42 Santa Maria de Punilla, Argentina

43

44 Alexis Jamín, M.D.

45 Clinical Instructor

46 Department of Radiology

47 Hospital Emergencia Clemente Alvarez

48 Rosario, Argentina.

49

50 Tom Clark D.C., R.V.T., R.M.S.K.

51 Private Practice Ultrasonographic Training,

52 Vista, California.

53 [Clarkmskus@aol.com](mailto:Clarkmskus@aol.com)

54

55 David Rabago, M.D.

56 Associate Professor

57 Department of Family Medicine and Community Health

58 University of Wisconsin School of Medicine and Public Health,

59 Madison, WI 53715

60 [david.rabago@fammed.wisc.edu](mailto:david.rabago@fammed.wisc.edu)

61

62 Corresponding Author

63 K. Dean Reeves, M.D.

64 [DeanReevesMD@gmail.com](mailto:DeanReevesMD@gmail.com)

65 Fax(913)362-4452 Telephone (913)362-1600

66

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69

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73

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75

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77 American Congress of Rehabilitation Medicine in Dallas, Texas.

78

1 **TITLE**

2 **The Chondrogenic Effect of Intra-articular Hypertonic-dextrose**  
3 **(prolotherapy) in Severe Knee Osteoarthritis.**

4 **ABSTRACT**

5 **Background:** Dextrose injection is reported to improve KOA-related clinical  
6 outcomes, but its effect on articular cartilage is unknown. A chondrogenic effect  
7 of dextrose injection has been proposed.

8 **Objective:** To assess biological and clinical effects of intra-articular hypertonic  
9 dextrose injections (prolotherapy) in painful knee osteoarthritis (KOA).

10 **Design:** Case series with blinded arthroscopic evaluation before and after  
11 treatment.

12 **Setting:** Physical medicine and day surgery practice.

13 **Participants:** Symptomatic KOA for at least 6 months, arthroscopy-confirmed  
14 medial compartment exposed subchondral bone, and temporary pain relief with  
15 intra-articular lidocaine injection.

16 **Intervention:** Four to six monthly 10 mL intra-articular injections with 12.5%  
17 dextrose.

18 **Main outcome measures:** Visual cartilage growth assessment of 9 standardized  
19 medial condyle zones in each of 6 participants by three arthroscopy readers

20 masked to pre/post injection status (total 54 zones evaluated per reader); biopsy  
21 of a cartilage growth-area post-treatment, evaluated using H&E and Safranin-O  
22 stains, quantitative polarized light microscopy, and immunohistologic cartilage  
23 typing; self-reported knee specific quality of life using the Western Ontario  
24 McMaster University Osteoarthritis Index (WOMAC, 0-100 points).

25 **Results:** Six participants (1 female) with median age of 71, WOMAC composite  
26 score of 57.5 points and a 9-year pain duration received a median 6 dextrose  
27 injections and follow-up arthroscopy at 7.75 (4.5-9.5) months. In 19 of 54 zone  
28 comparisons all three readers agreed that the post-treatment zone showed  
29 cartilage growth compared with the pre-treatment zone. Biopsy specimens  
30 showed metabolically active cartilage with variable cellular organization, fiber  
31 parallelism, and cartilage typing patterns consistent with fibro- and hyaline-like  
32 cartilage. Compared with baseline status, the median WOMAC score improved  
33 13 points ( $p=.013$ ). Self-limited soreness after methylene-blue instillation was  
34 noted.

35 **Conclusions:** Positive clinical and chondrogenic effects were seen after  
36 prolotherapy with hypertonic dextrose injection in symptomatic grade IV KOA  
37 participants suggesting disease-modifying effects and the need for confirmation  
38 in controlled studies. Minimally invasive arthroscopy (single-compartment, single-  
39 portal) enabled collection of robust intra-articular data.

40 **Key words:** Chondrogenesis; osteoarthritis; knee; dextrose; intra-articular  
41 injections; prolotherapy.

42 Abbreviations:

43 Knee osteoarthritis (KOA)

44 Western Ontario McMaster University Osteoarthritis Index (WOMAC, 100 points)

45 Numerical Rating Scale (NRS, 0-10)

46 Insulin-like Growth Factor-1 (IGF-1)

47 Hematoxylin and eosin (H&E)

48 Quantitative polarized light microscopy (QPLM)

49 Body Mass Index (BMI)

50

51

## Introduction

52 The Agency for Healthcare Research and Quality and the Institute of Medicine

53 have called for evaluation of new knee osteoarthritis (KOA) therapies.<sup>1,2</sup>

54 Hypertonic-dextrose injection (prolotherapy) is a treatment for chronic

55 musculoskeletal pain, including KOA.<sup>3</sup> Functional and symptomatic benefit from

56 hypertonic dextrose injection in knee osteoarthritis has been reported in three

57 randomized controlled trials (RCTs) and three open-label studies, with stability of

58 benefit at 30 month follow-up.<sup>4-9</sup> Animal and *in vitro* model data suggest cartilage-

59 specific anabolic growth as a result of intra-articular dextrose injection.<sup>10</sup> A

60 chondrogenic effect of intra-articular dextrose injection in human osteoarthritic

61 knees has been hypothesized and assessed by radiograph and MRI, but not

62 clearly demonstrated.<sup>6,11</sup>

63



64 Arthroscopy has been used for post-procedure “second-look” to evaluate the  
65 biological response of articular cartilage following stem cell injection and surgical  
66 procedures.<sup>12,13</sup> Direct visualization with arthroscopy and biopsy has the potential  
67 to detect subtle biological changes and may detect early cartilage change more  
68 accurately than MRI,<sup>14</sup> enabling the robust screening of potential chondrogenic  
69 effects in disease modification studies. We therefore tested the hypothesis that,  
70 among participants with severe symptomatic KOA, intra-articular hypertonic  
71 dextrose injections will be associated with chondrogenesis and clinical  
72 improvement compared with baseline status, as assessed by masked  
73 arthroscopic video review before and after treatment, post-treatment biopsy, and  
74 a disease-specific outcome questionnaire respectively.

75

76

## Methods

77 The study protocol was approved by the Bioethics Committee of the National  
78 University of Argentina in Rosario, Argentina. Due to the self-funded and  
79 preliminary nature of this study, enrollment was limited to six participants.

80

### 81 Eligibility criteria and participant recruitment

82 Inclusion criteria included knee pain for at least six months, clinically diagnosed  
83 KOA,<sup>15</sup> a weight bearing x-ray consistent with high grade medial compartment  
84 cartilage loss (Kellgren-Lawrence Grading Scale level IV; Figure 1), and  
85 confirmation of exposed subchondral bone by high resolution knee  
86 ultrasonography.<sup>16</sup> Exclusion criteria included anticoagulation therapy,

87 inflammatory or post-infectious knee arthritis, systemic inflammatory conditions,  
88 knee flexion of less than 100 degrees, knee extension of less than 165 degrees,  
89 any valgus, varus deviation greater than 20 degrees, or less than 90% acute pain  
90 relief after intra-articular injection of 10 ml of 0.2% lidocaine.

91

## 92 **Assessment**

93 Age, pain duration, prior knee interventions, and body mass index (BMI) were  
94 recorded at study entry. Biological, clinical and functional assessment occurred  
95 at a single follow-up time point after completion of treatment. Biological  
96 assessment included methylene blue stain for cartilage at arthroscopy, followed  
97 by a pre- and post-treatment zone-by-zone videography of the medial condyle  
98 and a post-treatment biopsy with histologic and immunohistologic evaluation, as  
99 described below. Clinical and functional assessment included knee range of  
100 motion measurement using a goniometer,<sup>17</sup> disease specific quality-of-life score  
101 (composite Western Ontario McMaster University Osteoarthritis [WOMAC,0-100  
102 points])<sup>18</sup> and knee pain severity with walking (0-10 numerical rating scale  
103 [NRS]).<sup>19</sup>

## 104 **Pre-Treatment Arthroscopy, Treatment, Post -Treatment Arthroscopy,** 105 **Biopsy, and Histology**

106 A single orthopedic surgeon (LAP) performed all arthroscopies in an outpatient  
107 hospital setting. Analgesia for arthroscopy consisted of intra-articular injection of  
108 20 mL 0.5% bupivacaine with epinephrine, 20 mL of 2% lidocaine with  
109 epinephrine, and 20 mcg of fentanyl in 20 mL of sodium chloride. The procedure

110 was minimally traumatic using one entry port; inspection was limited to the  
111 medial femoral condyle without flipping the scope to view the patellar surface.  
112 Sixty mL of 0.14% methylene blue solution was instilled and left in place for 10  
113 minutes; the knee was then flushed with 4 liters of sterile water. A video of the  
114 entire medial compartment was then made with standardized zone-by-zone  
115 scope movement through each of 9 portions of the medial condyle (Figure 2a; A-  
116 I).<sup>20</sup> Each of the 9 portions was labeled with the appropriate letter using video  
117 editor software (AVS Video Editor 6.1.2.211- Online Media Technologies Ltd)  
118 and the video was then libaried.

119

120 Treatment consisted of sterile preparation with chlorhexidine gluconate, followed  
121 by intra-articular injection of 12.5% dextrose (5 mL of 25% dextrose, 5 mL of  
122 normal saline) via a lateral approach to the supra-patellar pouch under  
123 ultrasound guidance.<sup>21</sup> Participants were asked to avoid taking glucosamine and  
124 chondroitin and to minimize weight bearing for 3 days after injection by using  
125 support of arms and opposite leg when rising from a chair and to avoid running  
126 and squatting during the remainder of the study. Assistive devices such as canes  
127 or crutches were not required.

128

129 The original protocol called for four monthly intra-articular dextrose injections  
130 (baseline, 1, 2 and 3 months) followed by arthroscopy at 4 months post-baseline  
131 injection. However, after the first participant completed injection and follow-up  
132 arthroscopy, and while participants 2-6 were receiving injections, an unforeseen

133 construction project closed the arthroscopy facility for several months. This  
134 delayed the acquisition of arthroscopy for participants 2-6. Because the  
135 investigators were concerned that weight-bearing ambulation for several months  
136 in the absence of monthly injections could eliminate evidence of a dextrose-  
137 related chondrogenic effect should one exist, we requested and received  
138 permission from the human subjects committee to increase the number of  
139 injection sessions from 4 to 6. While this created a difference in the planned  
140 number of injections between participant 1 (four injections) and participants 2-6  
141 (six injections), our intention was to obtain all arthroscopies within three months  
142 of the final injection.

143

144 Video recordings from the first arthroscopy were reviewed by the lead surgeon  
145 prior to the follow-up arthroscopy, who then repeated the method of the index  
146 procedure; a biopsy was obtained from an area of potential new growth, as  
147 defined by a new area of methylene blue dye uptake on the base of the exposed  
148 subchondral bone. The same single portal was used to place the 11-gauge 10  
149 cm Jamshi needle (Cardinal Health DJ4011X). A photograph of the biopsy site  
150 was taken pre- and post-biopsy. The biopsy was subjected to 1% Safranin-O and  
151 hematoxylin and eosin (H&E) staining (RG, Anatomopathology Consultation  
152 Clinic in Rosario, Argentina) according to standard histotechnologic methods.<sup>22</sup>  
153 Quantitative polarized light microscopy (QPLM) was performed (Department of  
154 Bioengineering at the University of California, San Diego) along with timed

155 immunohistologic stain applications for Type I and Type II cartilage of the  
156 specimens and normal human cartilage controls.<sup>23</sup>

157

### 158 **Comparative Zone-by-Zone Readings of Librared Arthroscopies**

159 Three orthopedic surgeons (VOG, YUK, AC) otherwise uninvolved with the Study  
160 and its participants, and with 14, 16 and 20 years of experience performing knee  
161 arthroscopies respectively, volunteered to be outside reviewers. They performed  
162 comparative zone-by-zone readings of the arthroscopies and were masked to the  
163 date on which the arthroscopy was obtained. Computer randomization prepared  
164 by the statistician (ALC) was used to assign the pre-treatment arthroscopy to  
165 either "Arthroscopy A" or "Arthroscopy B"; then the same assignment was  
166 performed for the post-treatment arthroscopy, and both were loaded onto the  
167 timeline of the video editing program, saved in that randomized order, and  
168 reviewed independently by each reviewer. The reviewers were asked to view  
169 each zone A-I, moving the video timeline back and forth between Arthroscopy A  
170 and B and answer the following question: "Comparing arthroscopy A with  
171 arthroscopy B, which zone has the appearance of additional cartilage growth, A,  
172 B, or N(neither)." Reviewers completed a table with 54 responses (9 zones for  
173 each of 6 participants). Unblinding occurred after the arthroscopies were scored.

174

### 175 **Analysis**

176 The results of section-by-section arthroscopic video analysis of each of 9  
177 sections of the medial condyle in all 6 participants by each surgeon-reader were

178 summarized for display on a medial condyle map, and compared for inter-reader  
179 reliability using a Fleiss' kappa statistic.<sup>24</sup> Histologic findings were summarized  
180 using photos in a per-participant manner. Non-arthroscopic data were analyzed  
181 using PASW 18 (Predictive Analytics Software 18.0.0, IBM). Descriptive statistics  
182 (median and interquartile range); or number / percent) described non-  
183 arthroscopic data at baseline and each follow-up time point. A paired-samples T-  
184 test was utilized to compare the WOMAC scores, 0-10 NRS pain scores, and  
185 knee flexion and extension measures at baseline to those collected before the  
186 second arthroscopy.

187

188

## Results

189 Enrollment and follow-up occurred from February 2010 to June 2013. Twenty  
190 potential participants were referred to the study team (Figure 1). Eight met initial  
191 eligibility criteria. One declined arthroscopy and one was disqualified due to  
192 severe hypertrophic synovitis confirmed by multiple synovial proliferation folds.  
193 Thus, six participants were enrolled with one assessed knee each; data from two  
194 right knees and four left knees were included in the analysis.

195

196 The study sample consisted of five men and one woman with median age of 71,  
197 BMI of 26.25, and knee pain duration of 9.6 years (Table 1). A median initial  
198 composite WOMAC score of 57.5, and limitation of knee flexibility suggested  
199 moderate to severe baseline symptomatic KOA.

200

201 Ultrasound imaging showed a partially denuded medial femoral condyle as well  
202 as cortical irregularities (Figure 3; column 1). All participants showed baseline  
203 multi-compartmental osteoarthritis on lateral and AP films (Fig. 3; columns 2 and  
204 3). Exposed subchondral bone was confirmed in each participant on initial  
205 arthroscopy.

206

207 Participant 1 received 4 injections and participants 2-6 received 6 injections prior  
208 to the follow-up arthroscopy at a median 7.75 (range 4.5-9.5) months.

209

#### 210 **Arthroscopic Zone-by-Zone Outcomes**

211 In 19 of 54 zones evaluated (35%), all three readers agreed that the post-  
212 treatment zone showed cartilage growth compared with the pre-treatment zone.

213 In 35 of 54 zones assessed, the three readers did not all agree, consistent with a  
214 low Fleiss' kappa value of inter-reader agreement of .007. Figure 2a shows the  
215 zones of the medial condyle for orientation and figure 2b shows the number of  
216 zones for which all 3 reviewers agreed on growth. For example, all reviewers  
217 rated zone I to show more growth in 3 of the 6 participants. In addition, all  
218 participants showed areas of growth; specifically, all three reviewers agreed that  
219 at least 2 zones showed cartilage growth in each participant.

220

#### 221 **Arthroscopic Documentation of Biopsy Locations**

222 To confirm that the biopsy was taken from an area of new growth, the pre- and  
223 post-arthroscopy pictures are provided (Figure 4; columns 2 and 3), the area of

224 biopsy is outlined by a red box (column 4), and the post biopsy defect is shown.  
225 Although the biopsy for participant 3 cut across an area which may have included  
226 some previous cartilage in addition to new growth, all others were from  
227 exclusively new growth areas.

228

### 229 **Basic Stains and Immunohistology for Cartilage Type**

230 Figure 5 shows histological and immunohistologic findings for the medial condyle  
231 biopsy site. All Safranin-O stained slides showed orange stain uptake indicating  
232 the presence of negatively charged molecules in the matrix, consistent with  
233 glycosaminoglycans, and consistent with normal cartilage cell function. All H&E  
234 stained slides, assessing the presence of organized tissue growth on formerly  
235 denuded bone, showed a mixture of organized and disorganized tissue. QPLM  
236 assessment for fiber parallelism index showed areas of high fiber parallelism  
237 (orange or red areas), consistent with organized hyaline-like cartilage, in all but  
238 one participant (participant five). Positive uptake for Type I immunohistologic  
239 stain was noted in biopsy specimens from all participants, confirming that each  
240 specimen contained a fibrocartilage component. Positive uptake of Type II  
241 immunohistologic stain was noted in all biopsy specimens except participant 5,  
242 consistent with the presence of hyaline-like cartilage.

243

### 244 **Clinical Outcomes**

245 Median composite WOMAC scores improved from baseline to arthroscopic  
246 follow-up by 13 points ( $p=.013$ ; Table 2). Median NRS-assessed pain severity



247 decreased by 3.7 points ( $p=.013$ ). Median knee flexion improved 7.5 degrees  
248 ( $p=.034$ ); median knee extension deficit improvement was not significant (2.5  
249 degrees;  $p=.086$ ).

250

### 251 **Side effects and adverse events**

252 There were no adverse events associated with the injection procedures or with  
253 arthroscopies. All participants noted self-limited mild-to-moderate, delayed-onset  
254 aching pain lasting hours to 3 weeks after arthroscopy. This was greater than  
255 that noted among non-study post-arthroscopy patients not receiving methylene  
256 blue, and was thought to be related to a reaction to residual methylene blue after  
257 irrigation.

258

259

260

## Discussion

261 This study assessed the hypotheses that intra-articular hypertonic dextrose  
262 injection is 1) associated with chondrogenesis and 2) provides a clinical benefit  
263 compared to baseline status in participants with severe symptomatic KOA.

264

265 The evidence favoring chondrogenesis includes agreement by all three reviewers  
266 of cartilage growth in 35% of possible evaluated zones and  
267 histological/immunohistological presence of new cartilage in all 6 participants,  
268 with a hyaline-like component in 5 of 6 biopsies from photographically-confirmed  
269 areas of new methylene blue uptake. Mapping of growth zones confirms that this

270 growth occurred in both non-weightbearing and weightbearing areas. These  
271 outcomes were obtained post-procedure without use of weight-reducing devices  
272 such as off-loading braces. Unloading of the knee remains best care after  
273 cartilage repair procedures;<sup>25</sup> therefore, these data may underestimate the  
274 potential effect of the procedure in the presence of unloading. The improvement  
275 in clinical measures was statistically significant and clinically important, and  
276 consistent with three open-label studies and three RCTs of hypertonic dextrose  
277 prolotherapy injections for knee OA.<sup>4-9</sup>

278

279 These changes may result from the procedure tested; the small volume of  
280 methylene blue and subsequent saline lavage are not chondrogenic,<sup>26-28</sup> and  
281 neither is expected to result in the observed clinical benefit. Though the cartilage  
282 growth was limited to a relatively small portion of the denuded surface, these are  
283 the first objective data to support the hypothesis that hypertonic dextrose  
284 injection may stimulate the growth of cartilage in the human knee.

285

286 While this study suggests cartilage growth and self-reported clinical improvement  
287 may be effects of hypertonic dextrose injection, we are not able to determine  
288 whether a single mechanism is responsible for either outcome, or whether the  
289 two outcomes are related. Several hypotheses for the mechanism of action of  
290 hypertonic dextrose injection have been advanced. The traditional view is that  
291 hypertonic dextrose initiates a brief inflammatory cascade stimulating native  
292 healing and subsequent tissue growth; clinical improvement then results from a

293 restoration of tissue integrity.<sup>29</sup> Animal model studies have reported increased  
294 cross sectional area of MCL ligament in a rat model<sup>30</sup> and an increase in  
295 organized connective tissue width, thickening of collagen bundles, increase in  
296 energy absorption and of load-before-rupture in a rabbit model<sup>31,32</sup> in response to  
297 hypertonic dextrose injection. Ultrasound data suggest that hypertonic dextrose  
298 injection is followed by tissue regeneration in ligamentous tissue<sup>33,34</sup> however,  
299 analogous cartilage-specific data are lacking.

300

301 A direct pain-modulating effect has also been hypothesized. Recent clinical trial  
302 data suggest hypertonic dextrose may decrease pain via a sensori-neural  
303 mechanism through direct exposure of dextrose to multiple intra-articular KOA  
304 pain generators, including the fat pad, synovium and menisci. Two recent RCTs  
305 have suggested that sugar (dextrose) and a sugar alcohol (mannitol) have an  
306 analgesic effect in low back pain<sup>35</sup> and a capsaicin pain model<sup>36</sup> respectively,  
307 consistent with a potential sensori-neural mechanism of these agents.

308

309 An alternative view is that glucose has direct anabolic effects.<sup>37</sup> In vitro data on  
310 glucose-specific effects on chondrocytes demonstrate proliferative effects that  
311 vary according to such factors as oxygen tension, osmolarity, and the source of  
312 the chondrocyte (osteoarthritic or non-osteoarthritic knees).<sup>38-43</sup> Synovial explants  
313 harvested from human donors and cultured in 0.45% dextrose demonstrated up  
314 to a fivefold elevation of IGF-1 gene expression and secreted IGF-1 into the  
315 tissue media.<sup>44</sup> Park et al. injected a solution that included 10% dextrose

316 compared to normal saline into ACL-transection-induced OA knee joints of New  
317 Zealand white rabbits and reported decreased erosion of articular cartilage  
318 overall compared to saline control, and minimal differences compared to normal  
319 cartilage which did not undergo ACL transection.<sup>10</sup>

320

321 The current study is not able to identify the source of new cartilage. Progenitor  
322 cells within the synovial joint environment may contribute to endogenous  
323 cartilage repair.<sup>45-47</sup> Human synovium contains cells that, after culture expansion,  
324 display properties of mesenchymal stem cells.<sup>48</sup> Another potential source of the  
325 cartilage growth is cartilaginous aggregates within the exposed subchondral  
326 bone. Zhang et al. documented the presence of cartilaginous deposit aggregates  
327 in the subchondral bone in areas of the human osteoarthritic knee with exposed  
328 bone.<sup>49</sup>

329

### 330 **Study Limitations**

331 The primary limitations of this study are small sample size and absence of a  
332 control group. Potential conclusions are therefore modest. However, the cohort  
333 was thoroughly evaluated; cartilage growth among all participants suggests a  
334 modest but real chondrogenic response to hypertonic dextrose, and the  
335 WOMAC-assessed response is consistent with blinded and non-blinded studies  
336 of hypertonic dextrose injections for KOA.<sup>6,7</sup> The low overall agreement rate  
337 among arthroscopy reviewers masked to date of arthroscopy limits slightly the  
338 confidence of our conclusions. Several aspects of the review process may

339 account for uncertainty and subsequent lack of agreement; these include: 1) very  
340 subtle growth, 2) No published guidelines on visual assessment of cartilage on  
341 exposed subchondral bone, and 3) review instructions that did not define exactly  
342 what constituted cartilage growth and were therefore open to interpretation by the  
343 reviewers.

344

345 Generalizability is limited by three factors. 1) Eligibility criteria included only the  
346 most severely affected knees; therefore, we are not able to address the potential  
347 effects of dextrose injection on patients with less severe KOA. While prior studies  
348 have enrolled participants with K-L I-III KOA,<sup>4,7</sup> we chose to include participants  
349 with KL IV and exposed subchondral bone because prior studies suggested  
350 positive clinical effects from prolotherapy on all grades of KOA,<sup>4-9</sup> and detection  
351 of cartilage growth is more clear on a denuded bone surface than on a  
352 cartilaginous surface. 2) The injection protocol varied slightly between one  
353 participant and the other five; however, both four and six injection sets fall within  
354 the clinically utilized number of injections. 3) Biopsy using a single entry port  
355 cannot obtain samples at the preferred angle of entry of 90 degrees. While this  
356 could affect precise assessment of tissue depth by layer, the use of QPLM  
357 allowed for an assessment of hyaline-like tissue quality via fiber parallelism, and  
358 photographic confirmation of biopsy site confirmed that the biopsy location was in  
359 an area of new methylene blue uptake.

360

361

362

363

## Conclusions

364 Intra-articular hypertonic dextrose injections were associated with  
365 chondrogenesis in areas of exposed subchondral bone in participants with  
366 symptomatic grade IV osteoarthritic knees. Participants improved clinically in  
367 self-reported and objectively-assessed functional outcomes consistent with  
368 previous randomized clinical trials. Minimally invasive single-compartment single-  
369 portal arthroscopy enabled collection of robust data from a small number of  
370 participants, and may provide an attractive, cost-effective means with which to  
371 evaluate potentially disease-modifying therapy.

372

373

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392  
393  
394

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## Table and Figure Legends

### Table 1: Baseline Participant Characteristics

573 <sup>a</sup> IR = Interquartile range

574 <sup>b</sup> Percentage does not sum to 100 due to participants' varied use of conventional  
575 therapies.

576 <sup>c</sup> 100 point WOMAC

### Table 2: Median Baseline and Change in WOMAC Scores, NRS pain and 578 flexibility

579 <sup>a</sup> Time until 2<sup>nd</sup> arthroscopy. Values obtained in week prior to arthroscopy.

580 <sup>b</sup> Significance (p-value) is reported compared to baseline status.

581 <sup>c</sup> IR = Interquartile range.

### Figure 1: Enrollment of Participants and Completion of the Study

583 Legend 1: Eligibility and exclusion criteria, grade IV change on ultrasound of the  
584 medial femoral condyle and analgesia with lidocaine injection were required for  
585 candidacy. Methylene blue straining was used to visualize cartilage cells. Video  
586 recordings of the entire medial condyle were performed in a fixed sequence both  
587 before and after treatment. A biopsy was obtained of an area of visible growth

588 during the second arthroscopy. Changes in pain, flexibility, cartilage status,  
589 histology, and Immunohistology were followed.

590 **Figure 2: Areas of Cartilage Growth on the Medial Femoral Condyle**

591 Legend 2: Left image shows the entire medial condylar surface of the left knee  
592 divided into 9 sections (A-I) per International Cartilage Research Society (ICRS)  
593 guidelines<sup>28</sup>. A cutout area is shown. A fraction is seen in each of the sections in  
594 the right side magnified image. The denominator of each fraction is 6, the  
595 number of knees evaluated arthroscopically before and after treatment. The  
596 numerator is the number of knees that showed growth as agreed upon by all  
597 three arthroscopists.

598 **Figure 3: Baseline Femoral Condyle ultrasound and AP and Lateral X-rays.**

599 Legend 3: The left column is an ultrasound image of the medial femoral condyle  
600 showing at least focal full thickness loss of cartilage. AP radiographs were taken  
601 in maximum extension with beam direction at joint height. Lateral compartments  
602 were consistent with multicompartmental involvement.

603 **Figure 4: Arthroscopic Confirmation of Biopsy from an Area of Cartilage**  
604 **Growth**

605 Legend 4: The darkened area in column one for each subject indicates the  
606 section from which the biopsy was taken for each subject. A still photograph of  
607 the area from which the biopsy was taken is shown from the first arthroscopy in  
608 column two and at the time of the post treatment arthroscopy (column three).  
609 Column four shows the area of biopsy within the red box and column five shows  
610 the biopsy defect.



611 **Figure 5: Safranin-O and H&E Stains, Quantitative Polarized Light**  
612 **Microscopy, and Immunohistology for Cartilage Type of Biopsy Specimens.**

613 Legend 5: The normal positive uptake controls for immunohistologic stain for  
614 fibrocartilage and hyaline cartilage, respectively, were the perichondral (fibrous)  
615 region of nasal septal cartilage discarded at the time of routine nasal septal  
616 surgery and normal femoral condyle cartilage (cadaveric). An IgG stain of the  
617 same normal femoral condyle cadaver cartilage served as the negative control,  
618 since IgG will not be taken up by normal cartilage.

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<b>Table 1: Baseline Participant (n=6) Characteristics</b>	
<b>Female, n (%)</b>	<b>2 (40%)</b>
<b>Age, years, median (IR)<sup>a</sup></b>	<b>71 (15)</b>
<b>Duration of Knee Pain, years, median (IR)</b>	<b>9.6 (10.8)</b>
<b>BMI, n (%)</b>	
<b>≤25</b>	<b>2 (33%)</b>
<b>26-30</b>	<b>3 (50%)</b>
<b>31+</b>	<b>1 (17%)</b>
<b>Prior Knee Intervention, n (%)<sup>b</sup></b>	
<b>Physical Therapy</b>	<b>6 (100%)</b>
<b>Hyaluronic acid injection</b>	<b>1 (17%)</b>
<b>Corticosteroid injection</b>	<b>3 (50%)</b>
<b>Arthroscopic surgery</b>	<b>0 (0%)</b>
<b>WOMAC<sup>c</sup> median points (IR)</b>	
<b>Composite</b>	<b>57.5 (8)</b>
<b>Pain</b>	<b>57 (7)</b>
<b>Stiffness</b>	<b>57.5 (9)</b>
<b>Function</b>	<b>58 (8)</b>
<b>NRS pain, median (IR)</b>	<b>8.5 (3.25)</b>
<b>Flexibility</b>	
<b>Flexion Range, median, (IR)</b>	<b>112.5 (22)</b>

Extension Deficit, median (IR)	7.5 (11)
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<sup>a</sup> IR = Interquartile range

<sup>b</sup> Percentage does not sum to 100 due to participants' varied use of conventional therapies.

<sup>c</sup> 100 point WOMAC

**Table 2. Median Baseline and Change in WOMAC Scores, NRS pain and flexibility**

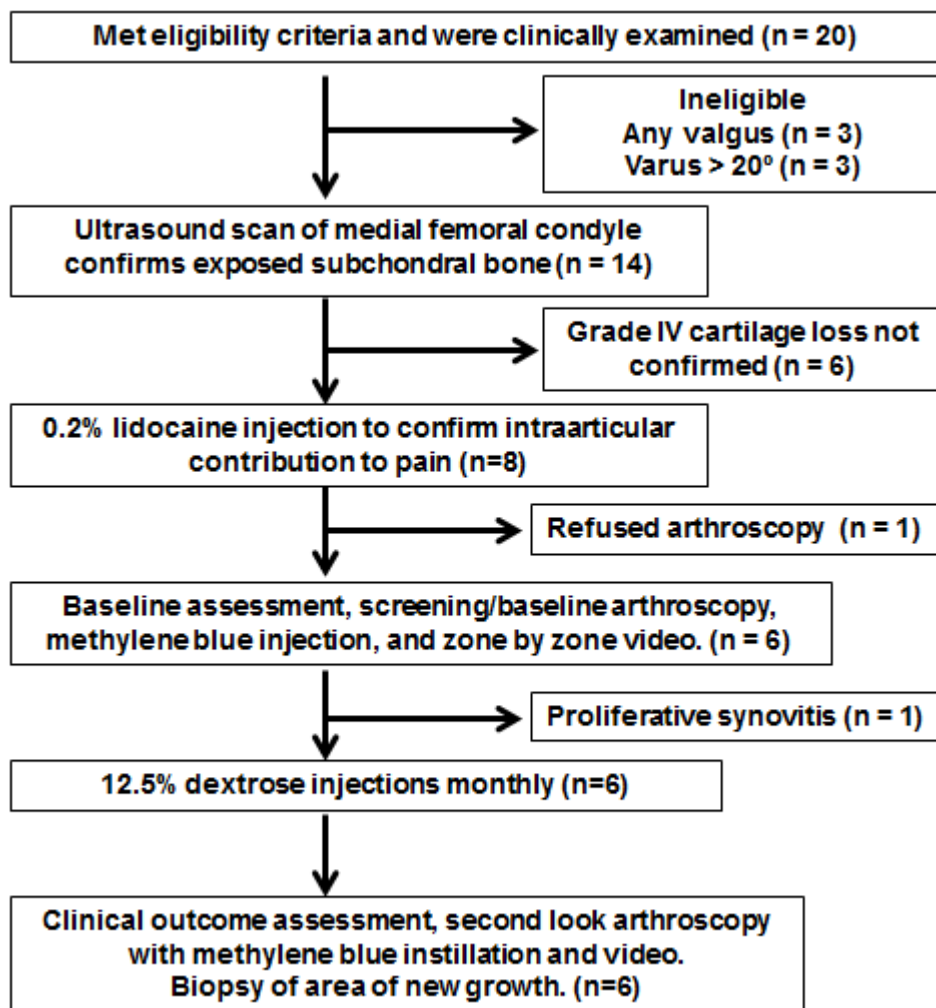
<b>Measure</b>	<b>Baseline Score (n=6)</b>	<b>Improvement to a median 7.75 (Range 4.5 to 9.5 months)<sup>a</sup> (n=6)</b>	<b>P-Value<sup>b</sup></b>
<b>WOMAC Composite Score, median (IR)<sup>c</sup></b>	<b>57.5 (8.0)</b>	<b>-13 (22)</b>	<b>.013</b>
<b>WOMAC Subscale Scores, median (IR)</b>			
<b>Pain</b>	<b>57 (7.0)</b>	<b>-14 (21.0)</b>	<b>.010</b>
<b>Stiffness</b>	<b>57.5 (9.0)</b>	<b>-12.5 (23)</b>	<b>.017</b>
<b>Function</b>	<b>58 (8.0)</b>	<b>-13.5 (23)</b>	<b>.015</b>
<b>NRS (0-10) Pain With Walking, median (IR)</b>	<b>8.5 (3.25)</b>	<b>-3.7 (3.0)</b>	<b>.013</b>
<b>Flexion Range, median (IR)</b>	<b>112.5 (22)</b>	<b>+7.5 (13)</b>	<b>.034</b>
<b>Extension Deficit, median (IR)</b>	<b>7.5 (11)</b>	<b>-2.5 (7)</b>	<b>.086</b>

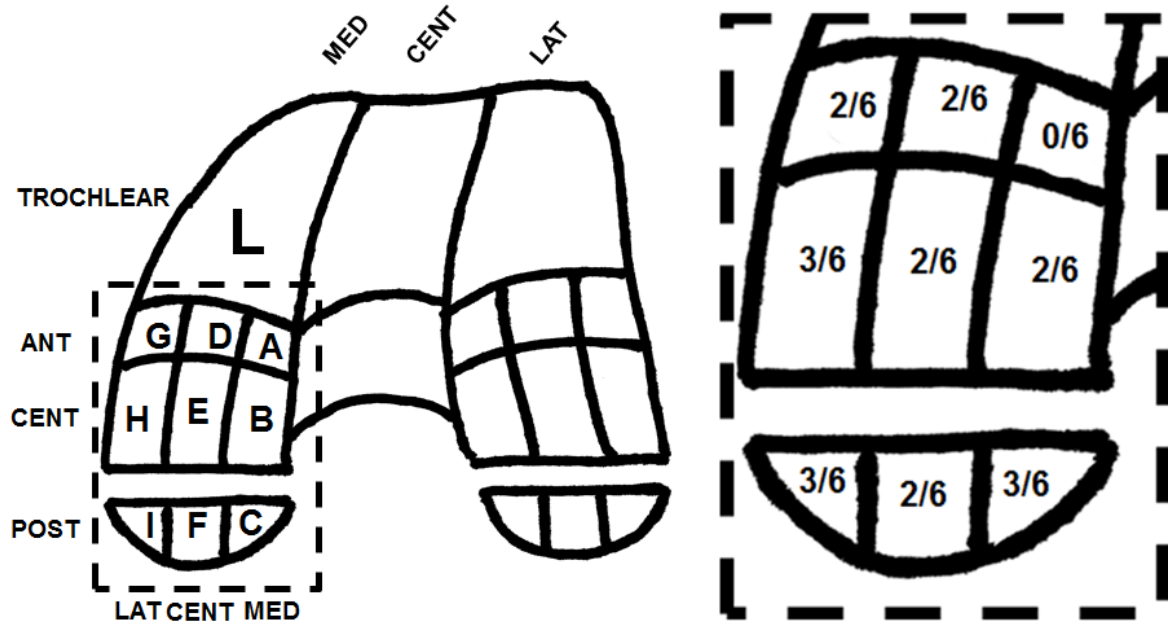
<sup>a</sup> Time until 2<sup>nd</sup> arthroscopy. Values obtained in week prior to arthroscopy.

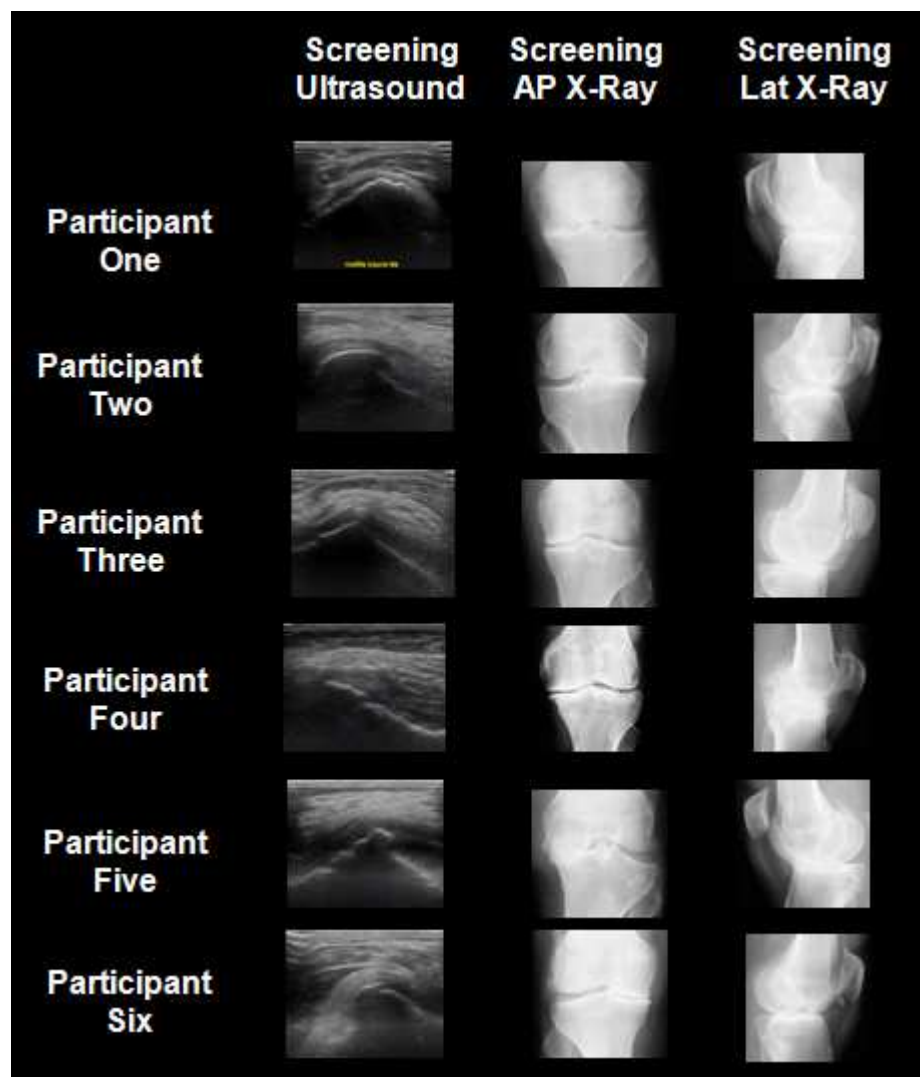
<sup>b</sup> Significance (p-value) is reported compared to baseline status.

<sup>c</sup> IR = Interquartile range.

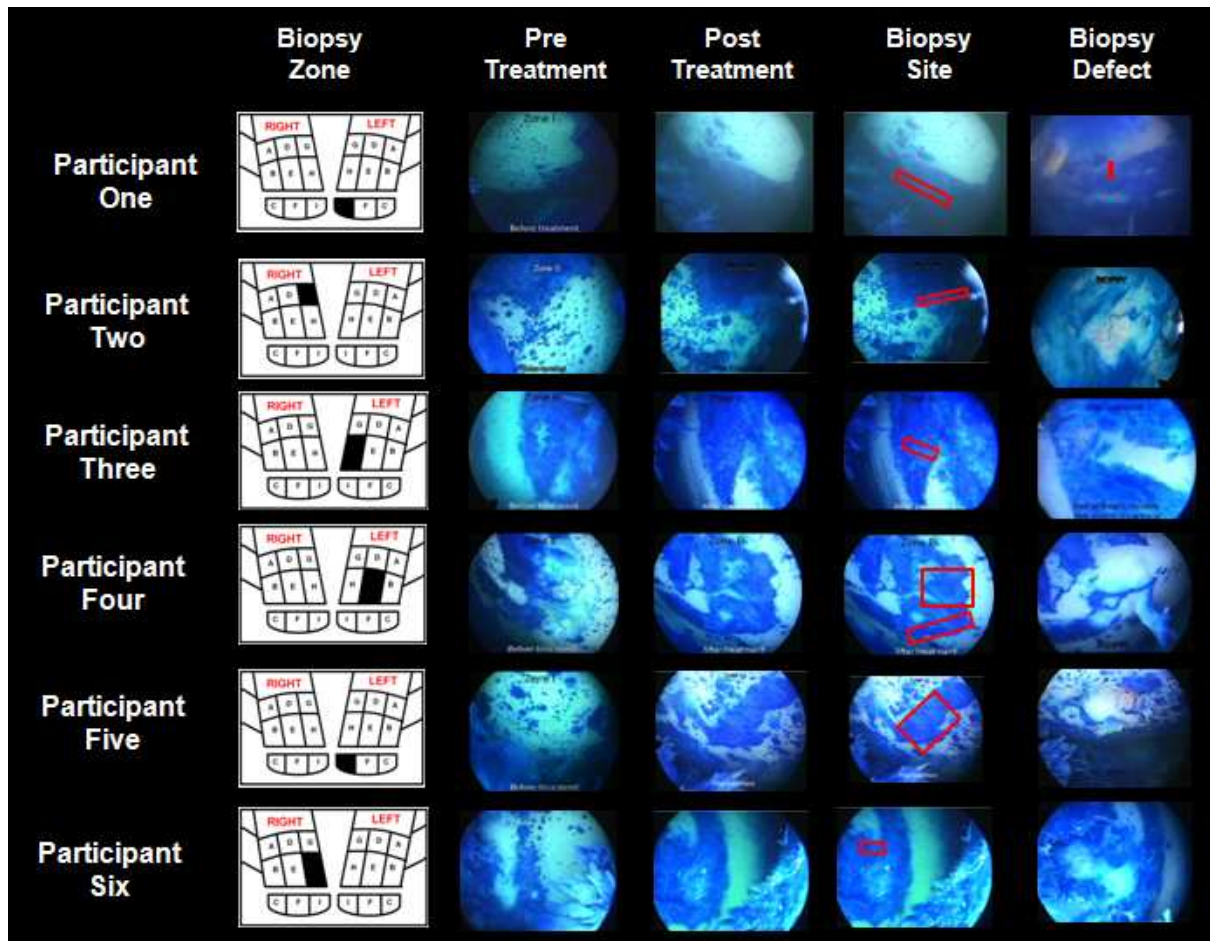
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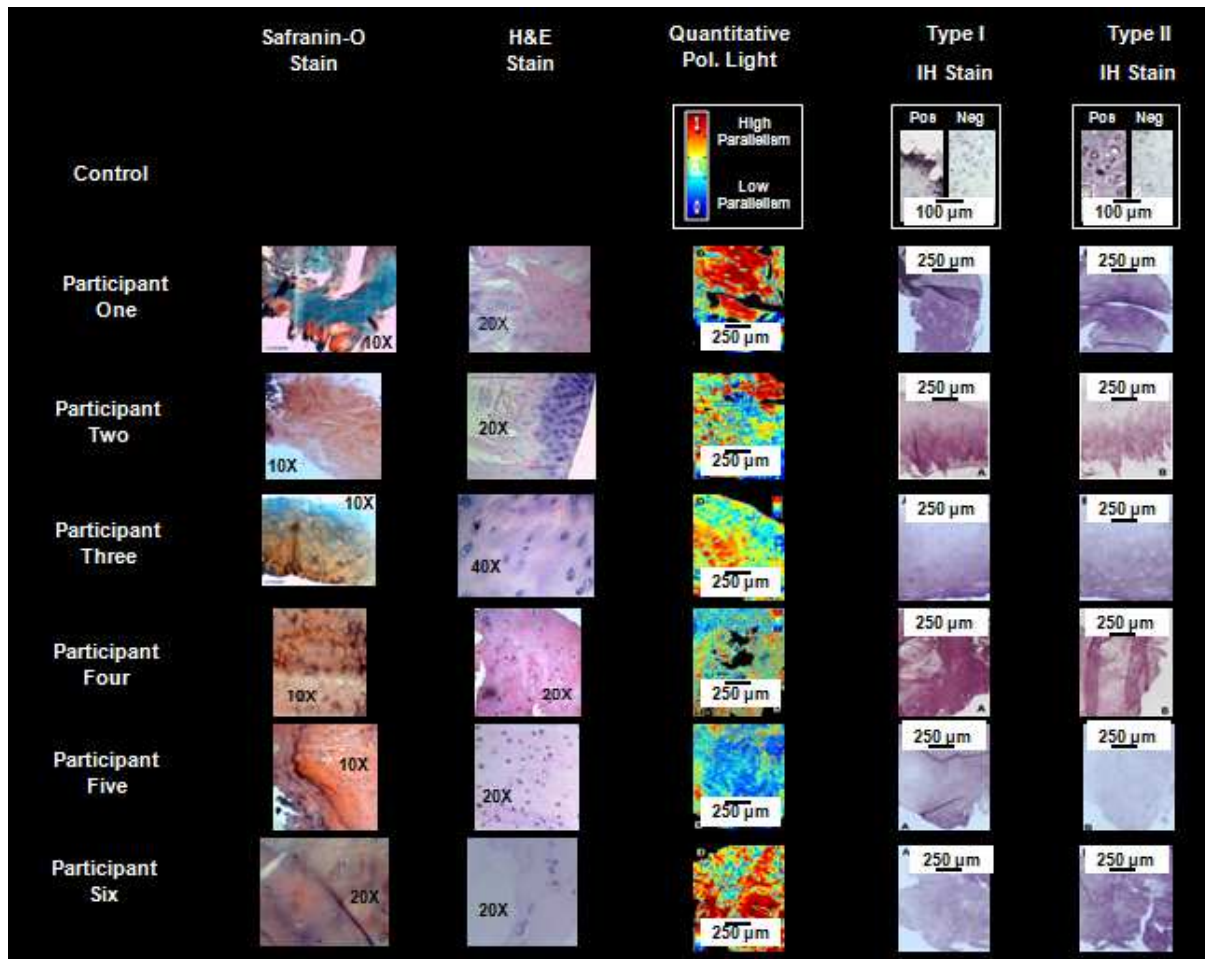












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